

# **Teoria Ergódica Diferenciável**

**lecture 13: Hopf theorem on geodesic flows**

Instituto Nacional de Matemática Pura e Aplicada

Misha Verbitsky, October 27, 2017

## Natural parametrization (reminder)

**DEFINITION:** Let  $\gamma : [a, b] \rightarrow M$  be a path, and  $\psi : [a, b] \rightarrow [c, d]$  **Parametrization** of the path  $\gamma$  is the map  $\psi \circ \gamma : [c, d] \rightarrow M$ , the same path parametrized differently. **Natural parametrization** of a minimizing geodesic  $\gamma$ ,  $L(\gamma) = a$  is parametrization  $\gamma : [0, a] \rightarrow M$  such that the length of  $\gamma|_{[0,t]}$  is equal  $t$ . Clearly,  $\gamma|_{[0,t]} = t$  defines the parametrization of  $\gamma$  uniquely.

**REMARK:** Let  $\gamma : [0, a] \rightarrow M$  be a minimizing geodesic with natural parametrization. **Then  $\gamma$  is an isometric embedding.**

**DEFINITION:** A geodesic  $\gamma : [a, b] \rightarrow M$  **has natural parametrization** if  $\gamma$  is locally an isometry.

**THEOREM:** Let  $M$  be a Riemannian manifold,  $x \in M$  and  $v \in T_x M$  be a tangent vector. **Then there exists a unique geodesic  $\gamma : [0, a] \rightarrow M$  with natural parametrization such that  $\gamma(0) = x$  and  $\gamma'(0) = v$ .** Moreover, the map  $\gamma$  smoothly depends on  $x$  and  $v$ .

**Proof:** We proved this theorem for the hyperbolic space; for Euclidean metric it is well known. **The proof for a more general Riemannian manifold is left as an exercise. ■**

## The exponential map (reminder)

**DEFINITION:** Let  $M$  be a Riemannian manifold. For any  $v \in T_x M$  with  $|v| = 1$ , denote the corresponding naturally parametrized geodesic by  $t \rightarrow \exp(tv)$ . The map  $T_x M \rightarrow M$  mapping  $v \in T_x M$  to  $\exp\left(|v|\frac{v}{|v|}\right)$  is called **the exponential map**.

**THEOREM:** Exponential map is a diffeomorphism for  $|v|$  sufficiently small.

**Proof:** Again, for Euclidean and hyperbolic space this theorem is proven, and for an arbitrary Riemannian manifold it is left as an exercise. ■

## Geodesic flow (reminder)

**DEFINITION:** Let  $M$  be a manifold. **Spherical tangent bundle**  $SM \subset TM$  is the space of all tangent vectors of length 1.

**DEFINITION:** Consider the map

$$\Psi_t(v, x) = (\exp(tv), d\exp(tv)(v))$$

mapping  $v \in T_x M, t \in \mathbb{R}$  to  $d\exp(tv)(v) \in T_{\exp(tv)} M$ ; here

$$d\exp(tv) : T_x M \longrightarrow T_{\exp(tv)} M$$

is the differential of the exponent map  $\exp : T_x M \longrightarrow M$ . This defines an action of  $\mathbb{R}$  on  $SM$ ,  $t \longrightarrow \Psi_t \in \text{Diff}(SM)$ . This action is called **the geodesic flow**.

**REMARK:** Geodesic flow **takes a unit tangent vector, takes a naturally parametrized geodesic tangent to this vector, and moves this vector along this geodesic.**

## Volume forms

**DEFINITION: Grassmann algebra** is an algebra  $\Lambda^*(V^*)$  of a vector space  $V$  is an algebra of antisymmetric  $k$ -forms on  $V$  (similar to polynomial, but antisymmetric instead of symmetric).

**THEOREM:** Let  $x_1, \dots, x_n$  be a basis in  $V^*$ . Then **the space  $\Lambda^k V^*$  is generated by antisymmetric forms  $x_{i_1} \wedge x_{i_2} \wedge \dots \wedge x_{i_k}$ ,  $i_1 < i_2 < \dots < i_k$ , which are all linearly independent.**

**COROLLARY: The space  $\Lambda^k(V^*)$  of  $k$ -linear antisymmetric forms is  $\binom{n}{k}$ -dimensional**, where  $n = \dim V$ . In particular,  **$\Lambda^n(V^*)$  is 1-dimensional.**

**DEFINITION: The space of volume forms** on an  $n$ -dimensional vector space  $V$  is  $\Lambda^n(V^*)$ .

**DEFINITION: Orientation** on  $V$  is a choice of positive direction on  $\Lambda^n(V^*)$ . A **positive volume form** on an oriented vector space  $V$  is a volume form which is positive in the sense of orientation.

**REMARK: There is a bijection between translation invariant Lebesgue measures on  $\mathbb{R}^n$  and positive volume forms on  $\mathbb{R}^n$ .**

## Differential forms

**DEFINITION:** Let  $M$  be a manifold. A **differential form**, or  **$k$ -form**, on  $M$  is a choice of a vector  $\lambda_x \in \Lambda^k(T_x^*M)$  smoothly depending on  $x$ . The space of all differential forms on  $M$  is denoted  $\Lambda^k M$ .

**DEFINITION:** A **positive volume form** on an oriented  $n$ -manifold is a differential form  $\nu \in \Lambda^n M$  such that at each  $x \in M$ ,  $\nu$  defines a positive volume form on  $\Lambda^n(T_x^*M)$ .

**REMARK:** Let  $f : M \rightarrow N$  be a smooth map of manifolds. Then  $f$  defines a **pullback map**  $f^* : \Lambda^k N \rightarrow \Lambda^k M$  which takes a form  $\eta \in \Lambda^k N$  and puts it to

$$f^*(\eta)(v_1, \dots, v_k) = \eta(D_f(v_1), D_f(v_2), \dots, D_f(v_k)).$$

## Integral and measure associated with a differential form

**DEFINITION:** Let  $M$  be an oriented  $n$ -dimensional manifold, and  $\Lambda_c^n M$  the space of volume forms with compact support. **Integral** is a linear map  $\int_M : \Lambda_c^n M \rightarrow \mathbb{R}$  which satisfies the following conditions.

**(invariance)** For any diffeomorphism  $f : M \rightarrow M$ , and any  $\nu \in \Lambda_c^n M$ , one has  $\int_M \nu = \int_M f^* \nu$ .

**(positivity)** For any non-negative volume form  $\nu$ , one has  $\int_M \nu \geq 0$ .

**THEOREM:** The functional  $\int_M : \Lambda_c^n M \rightarrow \mathbb{R}$  satisfying these properties **exists and is unique**.

This theorem is left as an exercise.

**Riesz representation theorem:** Let  $M$  be a metrizable, locally compact topological space, and  $C_c^0(M)^*$  the space of functionals continuous in uniform topology. **Then Radon measures can be characterized as continuous functionals  $\mu \in C_c^0(M)^*$  which are non-negative on all non-negative functions.**

**Proof:** Lecture 6.

**DEFINITION:** For any volume form  $\nu$  on  $M$  define a Radon measure  $\nu$  associated to the functional  $w \rightarrow \int_M w \nu$ . This measure is called **Lebesgue measure associated with a differential form**.

## Smooth measures

**DEFINITION:** Let  $\mu$  be a signed measure on a smooth manifold  $M$ . We say that  $\mu$  is of class  $C^1$  if for any vector field  $X \in TM$  there exists a signed measure  $\text{Lie}_X \mu$  such that  $\int_M D_X(f) \mu = \int_M f \text{Lie}_X \mu$ . We say that  $\mu$  is of class  $C^i$  if it is of class  $C^1$  and  $\text{Lie}_X \mu$  is of class  $C^{i-1}$  for any vector field  $X$ , and **smooth** (or **of class  $C^\infty$** ) if it is of class  $i$  for all  $i > 0$ .

**THEOREM:** A signed measure  $\mu$  on an  $n$ -manifold  $M$  is of class  $C^i$ ,  $i > 0$ , **if and only if it is associated with a differential form  $\nu \in \Lambda^n M$  of class  $C^i$ .**

This theorem is left as an exercise.



## Spherical bundle for a space form

**REMARK:** Let  $M = G/H$  be a homogeneous space. Then a  $G$ -invariant volume form on  $M$  is unique up to a constant. Indeed, we can take the volume form in a given tangent space and extend it to a  $G$ -invariant volume by  $G$ -action; thus, **a volume form on  $T_x M$  determines the measure on  $M$ .**

**CLAIM:** Let  $M = G/H$  be a space form. **Then the natural action of  $G$  on  $SM$  is transitive.**

**Proof:**  $G$  acts transitively on  $M$ , and  $H = SO(n)$  acts transitively on the sphere  $\{v \in T_x M \mid |v| = 1\}$ . ■

**REMARK:** **This also implies that  $SM = G/SO(n-1)$ .** Indeed,  $\text{St}_{SO(n)}(v) = SO(n-1)$ .

## Riemannian volume and geodesic flow

**THEOREM:** Let  $M = G/H$  be a space form,  $SM$  its spherical bundle, and  $\text{Vol}$  a  $G$ -invariant volume form. **Then the geodesic flow preserves  $\text{Vol}$ .**

**Proof. Step 1:** Since the geodesic flow  $\Psi_t$  is  $G$ -equivariant, the map  $t \rightarrow (\Psi_t)_* \text{Vol} = \lambda_t \text{Vol}$  defines an action of  $\mathbb{R}$  on the 1-dimensional space of  $G$ -invariant volume forms, that is, a homomorphism  $\mathbb{R} \rightarrow \mathbb{R}^*$ . This gives  $\lambda_{-t} = \lambda_t^{-1}$ .

**Step 2:** Let  $\tau_x : M \rightarrow M$  be the central symmetry with center in  $x \in M$ . Then  $\Psi_t \circ \tau|_{T_x SM} = \tau \circ \Psi_{-t}|_{T_x SM}$  because the central symmetry reverses the orientation on geodesics. Then  $\lambda_t = \lambda_{-t}$ . ■

## Hopf argument

**DEFINITION:** Let  $M$  be a metric space with a Borel measure and  $F_t : M \times \mathbb{R} \rightarrow M$  a continuous flow preserving measure. The **“stable foliation”** is an equivalence relation on  $M$ , with  $x \sim y$  when  $\lim_{t \rightarrow \infty} d(F_t(x), F_t(y)) = 0$ . The **“leaves”** of the stable foliation are the equivalence classes. **Unstable foliation** is the stable foliation for  $F_{-t}$ .

**THEOREM: (Hopf Argument)** Any measurable,  $F_t$ -invariant function **is constant on the leaves of the stable foliation** outside of a measure 0 set.

**Proof:** Lecture 7. ■

**DEFINITION:** We say that  $M$  is a **Riemannian manifold of constant negative curvature** if it is locally isometric to a hyperbolic space.

**THEOREM: (E. Hopf)** Let  $M$  be a complete Riemannian manifold of finite volume and constant negative curvature. **Then the geodesic flow is ergodic.**

Proof (for dimension 2) is later in this lecture; it remains as an exercise to extend this proof to any dimension.

## Absolute

Let  $V = \mathbb{R}^3$  be a vector space with bilinear form of signature (1,2). Denote by  $V^+$  the positive cone of  $V$ , that is, one of two connected components of  $\{v \in V \mid (v, v) > 0\}$ . Consider the hyperbolic space  $\mathbb{H} = SO^+(1,2)/SO(2)$  as projectivization of  $V^+$ ,  $\mathbb{H} = \mathbb{P}V^+ = V^+/\mathbb{R}^{>0}$ . Let  $\bar{\mathbb{H}}$  be the closure of  $\mathbb{P}V^+ \subset \mathbb{P}V = \mathbb{R}P^2$ .

**DEFINITION:** The infinite circle  $\partial\Delta$  considered as a boundary of the disk  $\mathbb{P}V^+ = \mathbb{H}$  is called **the absolute** of the projective plane.

**REMARK:** Any isometry of the disk is naturally extended to the absolute. Indeed,  $SO^+(1,2)$  acts on the real projective space  $\mathbb{R}P^2$ , and absolute is the boundary of  $\mathbb{P}V^+$  in  $\mathbb{R}P^2$ .

## Convergence of geodesics

**REMARK:** From now on, **all geodesics are considered with their natural parametrization.**

**REMARK:** From the description of geodesics in Poincaré disc, it is clear that for any geodesic  $\gamma : ]-\infty, \infty[ \rightarrow \Delta$  the limit points  $\gamma_+ := \lim_{t \rightarrow \infty} \gamma(t)$  and  $\gamma_- := \lim_{t \rightarrow -\infty} \gamma(t)$  are well defined in the absolute  $\partial\Delta$ , and, moreover, the points  $\gamma_+, \gamma_- \in \partial\Delta$  determine the geodesic uniquely.

**REMARK:** The Poincaré metric on  $\mathbb{H}$  is  $d_P = \frac{dx^2 + dy^2}{y^2}$ . Therefore,

$$\lim_{u \rightarrow \infty} d_P((t_1, u_1 + u), (t_2, u_2 + u)) = 0.$$

This gives the following

**COROLLARY:** Let  $\gamma, \delta$  be geodesics such that their  $+\infty$ -limits  $\gamma_+, \delta_+ \in \partial\Delta$  are equal, and  $t_1 \in \mathbb{R}$  any number. **Then there exists  $t_2 \in \mathbb{R}$  such that the tangent vectors  $\dot{\gamma}(t_1), \dot{\delta}(t_2) \in S\Delta$  belong to the same leaf of the stable foliation.** ■

## Hopf theorem for manifolds of constant negative curvature

**THEOREM: (E. Hopf)** Let  $M$  be a complete 2-dimensional Riemannian manifold of finite volume and constant negative curvature. **Then the geodesic flow  $\Psi_t$  is ergodic.**

**Proof. Step 1:** Any such  $M$  **is obtained as a quotient** of the hyperbolic plane  $\Delta/\Gamma$ , where  $\Gamma$  is a discrete group acting on  $\Delta$  by isometries.

**Step 2:** To prove Hopf Theorem it would suffice to show that a function which is (\*) constant on orbits of the geodesic flow and on almost all leaves of stable foliation on  $S\Delta$  and (\*\*) on orbits of the geodesic flow and on almost all leaves of unstable foliation is necessarily constant. This follows from the Hopf argument (Lecture 7).

**Step 3:** For (\*)  $f$  should be constant on all  $S_\alpha$ , where  $S_\alpha$  is all  $v \in T_x\Delta$  such that the geodesic tangent to  $v$  end up in a point  $\alpha \in \partial\Delta$ . For (\*\*),  $f$  should be constant on all  $U_\beta$ , where  $U_\beta$  is all vectors  $v \in T_x\Delta$  such that the geodesic tangent to  $v$  begins in  $\beta \in \partial\Delta$ . **The sets  $S_\alpha, U_\beta$  intersect in a geodesic connecting  $\alpha$  to  $\beta$**  which exists whenever  $\alpha \neq \beta$ . ■