

# **Teoria Ergódica Diferenciável**

**lecture 10: Group of conformal automorphisms**

Instituto Nacional de Matemática Pura e Aplicada

Misha Verbitsky, October 18, 2017

## Riemannian manifolds (reminder)

**DEFINITION:** Let  $h \in \text{Sym}^2 T^*M$  be a symmetric 2-form on a manifold which satisfies  $h(x, x) > 0$  for any non-zero tangent vector  $x$ . Then  $h$  is called **Riemannian metric**, of **Riemannian structure**, and  $(M, h)$  **Riemannian manifold**.

**DEFINITION:** For any  $x, y \in M$ , and any piecewise smooth path  $\gamma : [a, b] \rightarrow M$  connecting  $x$  and  $y$ , consider **the length** of  $\gamma$  defined as  $L(\gamma) = \int_{\gamma} \left| \frac{d\gamma}{dt} \right| dt$ , where  $\left| \frac{d\gamma}{dt} \right| = h\left(\frac{d\gamma}{dt}, \frac{d\gamma}{dt}\right)^{1/2}$ . Define **the geodesic distance** as  $d(x, y) = \inf_{\gamma} L(\gamma)$ , where infimum is taken for all paths connecting  $x$  and  $y$ .

**EXERCISE:** Prove that the **geodesic distance satisfies triangle inequality and defines a metric on  $M$** .

**EXERCISE:** Prove that **this metric induces the standard topology on  $M$** .

**EXAMPLE:** Let  $M = \mathbb{R}^n$ ,  $h = \sum_i dx_i^2$ . **Prove that the geodesic distance coincides with  $d(x, y) = |x - y|$** .

**EXERCISE:** Using partition of unity, **prove that any manifold admits a Riemannian structure**.

## Conformal structures (reminder)

**DEFINITION:** Let  $h, h'$  be Riemannian structures on  $M$ . These Riemannian structures are called **conformally equivalent** if  $h' = fh$ , where  $f$  is a positive smooth function.

**DEFINITION:** **Conformal structure** on  $M$  is a class of conformal equivalence of Riemannian metrics.

**DEFINITION:** **A Riemann surface** is a 2-dimensional oriented manifold equipped with a conformal structure.

**DEFINITION:** Let  $I : TM \rightarrow TM$  be an endomorphism of a tangent bundle satisfying  $I^2 = -\text{Id}$ . Then  $I$  is called **almost complex structure operator**, and the pair  $(M, I)$  **an almost complex manifold**.

**CLAIM:** Let  $M$  be a 2-dimensional oriented conformal manifold. **Then  $M$  admits a unique orthogonal almost complex structure** in such a way that the pair  $x, I(x)$  is positively oriented. Conversely, **an almost complex structure uniquely determines the conformal structure and orientation.**

## Homogeneous spaces (reminder)

**DEFINITION:** A Lie group is a smooth manifold equipped with a group structure such that the group operations are smooth. Lie group  $G$  **acts on a manifold**  $M$  if the group action is given by the smooth map  $G \times M \rightarrow M$ .

**DEFINITION:** Let  $G$  be a Lie group acting on a manifold  $M$  transitively. Then  $M$  is called **a homogeneous space**. For any  $x \in M$  the subgroup  $\text{St}_x(G) = \{g \in G \mid g(x) = x\}$  is called **stabilizer of a point**  $x$ , or **isotropy subgroup**.

**CLAIM:** For any homogeneous manifold  $M$  with transitive action of  $G$ , **one has**  $M = G/H$ , where  $H = \text{St}_x(G)$  is an isotropy subgroup.

**Proof:** The natural surjective map  $G \rightarrow M$  putting  $g$  to  $g(x)$  identifies  $M$  with the space of conjugacy classes  $G/H$ . ■

**REMARK:** Let  $g(x) = y$ . Then  $\text{St}_x(G)^g = \text{St}_y(G)$ : **all the isotropy groups are conjugate**.

## Isotropy representation (reminder)

**DEFINITION:** Let  $M = G/H$  be a homogeneous space,  $x \in M$  and  $\text{St}_x(G)$  the corresponding stabilizer group. The **isotropy representation** is the natural action of  $\text{St}_x(G)$  on  $T_xM$ .

**DEFINITION:** A Riemannian form  $\Phi$  on a homogeneous manifold  $M = G/H$  is called **invariant** if it is mapped to itself by all diffeomorphisms which come from  $g \in G$ .

**REMARK:** Let  $\Phi_x$  be an isotropy invariant scalar product on  $T_xM$ . For any  $y \in M$  obtained as  $y = g(x)$ , consider the form  $\Phi_y$  on  $T_yM$  obtained as  $\Phi_y := g(\Phi)$ . The choice of  $g$  is not unique, however, for another  $g' \in G$  which satisfies  $g'(x) = y$ , we have  $g = g'h$  where  $h \in \text{St}_x(G)$ . Since  $\Phi_x$  is  $h$ -invariant, **the metric  $\Phi_y$  is independent from the choice of  $g$ .**

We proved

**THEOREM:** Homogeneous Riemannian forms on  $M = G/H$  are in bijective correspondence with isotropy invariant spalar products on  $T_xM$ , for any  $x \in M$ . ■

## Space forms (reminder)

**DEFINITION:** **Simply connected space form** is a homogeneous manifold of one of the following types:

**positive curvature:**  $S^n$  (an  $n$ -dimensional sphere), equipped with an action of the group  $SO(n+1)$  of rotations

**zero curvature:**  $\mathbb{R}^n$  (an  $n$ -dimensional Euclidean space), equipped with an action of isometries

**negative curvature:**  $SO(1, n)/O(n)$ , equipped with the natural  $SO(1, n)$ -action. This space is also called **hyperbolic space**, and in dimension 2 **hyperbolic plane** or **Poincaré plane** or **Bolyai-Lobachevsky plane**

## Riemannian metric on space forms (reminder)

**LEMMA:** Let  $G = SO(n)$  act on  $\mathbb{R}^n$  in a natural way. **Then there exists a unique  $G$ -invariant symmetric 2-form:** the standard Euclidean metric.

**Proof:** Let  $g, g'$  be two  $G$ -invariant symmetric 2-forms. Since  $S^{n-1}$  is an orbit of  $G$ , we have  $g(x, x) = g(y, y)$  for any  $x, y \in S^{n-1}$ . Multiplying  $g'$  by a constant, we may assume that  $g(x, x) = g'(x, x)$  for any  $x \in S^{n-1}$ . **Then  $g(\lambda x, \lambda x) = g'(\lambda x, \lambda x)$  for any  $x \in S^{n-1}, \lambda \in \mathbb{R}$ ;** however, all vectors can be written as  $\lambda x$ . ■

**COROLLARY:** Let  $M = G/H$  be a simply connected space form. **Then  $M$  admits a unique, up to a constant multiplier,  $G$ -invariant Riemannian form.**

**Proof:** The isotropy group is  $SO(n-1)$  in all three cases, and the previous lemma can be applied. ■

**REMARK:** From now on, all space forms are assumed to be homogeneous Riemannian manifolds.

## Schwartz lemma (reminder)

**CLAIM: (maximum principle)** Let  $f$  be a holomorphic function defined on an open set  $U$ . **Then  $f$  cannot have strict maxima in  $U$ . If  $f$  has non-strict maxima, it is constant.**

**EXERCISE:** Prove the maximum principle.

**LEMMA: (Schwartz lemma)** Let  $f : \Delta \rightarrow \Delta$  be a map from disk to itself fixing 0. **Then  $|f'(0)| \leq 1$ , and equality can be realized only if  $f(z) = \alpha z$  for some  $\alpha \in \mathbb{C}$ ,  $|\alpha| = 1$ .**

**Proof:** Consider the function  $\varphi := \frac{f(z)}{z}$ . Since  $f(0) = 0$ , it is holomorphic, and since  $f(\Delta) \subset \Delta$ , on the boundary  $\partial\Delta$  we have  $|\varphi|_{\partial\Delta} \leq 1$ . Now, **the maximum principle implies that  $|f'(0)| = |\varphi(0)| \leq 1$** , and equality is realized only if  $\varphi = \text{const.}$  ■



## Conformal automorphisms of the disk act transitively

**CLAIM:** Let  $\Delta \subset \mathbb{C}$  be the unit disk. **Then the group  $\text{Aut}(\Delta)$  of its holomorphic automorphisms acts on  $\Delta$  transitively.**

**Proof. Step 1:** Let  $V_a(z) = \frac{z-a}{1-\bar{a}z}$  for some  $a \in \Delta$ . Then  $V_a(0) = -a$ . To prove transitivity, it remains to show that  $V_a(\Delta) = \Delta$ .

**Step 2:** For  $|z| = 1$ , we have

$$|V_a(z)| = |V_a(z)||z| = \left| \frac{z\bar{z} - a\bar{z}}{1 - \bar{a}z} \right| = \left| \frac{1 - a\bar{z}}{1 - \bar{a}z} \right| = 1.$$

Therefore,  $V_a$  preserves the circle. Maximum principle implies that  $V_a$  maps its interior to its interior.

**Step 3:** To prove invertibility, we interpret  $V_a$  as an element of  $PGL(2, \mathbb{C})$ . ■

## Transitive action is determined by a stabilizer of a point

**Lemma 1:** Let  $M = G/H$  be a homogeneous space, and  $\Psi : G_1 \rightarrow G$  a homomorphism such that  $G_1$  acts on  $M$  transitively and  $\text{St}_x(G_1) = \text{St}_x(G)$ .

**Then  $G_1 = G$ .**

**Proof:** Since any element in  $\ker \Psi$  belongs to  $\text{St}_x(G_1) = \text{St}_x(G) \subset G$ , the homomorphism  $\Psi$  is injective. It remains only to show that  $\Psi$  is surjective.

Let  $g \in G$ . Since  $G_1$  acts on  $M$  transitively,  $gg_1(x) = x$  for some  $g_1 \in G_1$ . Then  $gg_1 \in \text{St}_x(G_1) = \text{St}_x(G) \subset \text{im } G_1$ . This gives  $g \in G_1$ . ■

## Group of conformal automorphisms of the disk is $PU(1, 1)$

**REMARK:** The group  $PU(1, 1) \subset PGL(2, \mathbb{C})$  of unitary matrices preserving a pseudo-Hermitian form  $h$  of signature  $(1, 1)$  acts on a disk  $\{l \in \mathbb{C}P^1 \mid h(l, l) > 0\}$  by holomorphic automorphisms. Indeed,  $PGL(2, \mathbb{C})$  acts conformally on  $\mathbb{C}P^1$ .

**COROLLARY:** Let  $\Delta \subset \mathbb{C}$  be the unit disk,  $\text{Aut}(\Delta)$  the group of its conformal automorphisms, and  $\Psi : PU(1, 1) \rightarrow \text{Aut}(\Delta)$  the map constructed above. **Then  $\Psi$  is a group isomorphism.**

**Proof:** We use Lemma 1. Both groups act on  $\Delta$  transitively, hence **it suffices only to check that  $\text{St}_x(PU(1, 1)) = S^1$  and  $\text{St}_x(\text{Aut}(\Delta)) = S^1$ .** The first isomorphism is clear, because the space of unitary automorphisms fixing a vector  $v$  is  $U(v^\perp)$ . The second isomorphism follows from Schwartz lemma **(prove it!).** ■

## Conformal automorphism and the Poincare metric on the disc

**COROLLARY:** Let  $h$  be a homogeneous metric on  $\Delta = PU(1, 1)/S^1$ . **Then  $(\Delta, h)$  is conformally equivalent to  $(\Delta, \text{flat metric})$ .**

**Proof:** The group  $\text{Aut}(\Delta) = PU(1, 1)$  acts on  $\Delta$  holomorphically, that is, preserving the conformal structure of the flat metric. However, the homogeneous conformal structure on  $PU(1, 1)/S^1$  is unique for the same reason the homogeneous metric is unique up to a constant multiplier **(prove it)**. ■

**COROLLARY:** All **conformal automorphisms of a disk are isometries**.

**Proof:** The group  $\text{Aut}(\Delta)$  acts by homotheties, because an  $\text{Aut}(\Delta)$ -invariant metric on a space  $G/S^1$  is unique up to homothety. However, a homothety of a disk is an isometry by Schwartz lemma. ■

**DEFINITION:** **Poincare metric** on a disc  $\Delta \subset \mathbb{C}$  is any  $\text{Aut}(\Delta)$ -invariant metric, where  $\text{Aut}(\Delta)$  is the group of conformal isometries.

## Laurent power series: function in an annulus

### THEOREM: (Laurent theorem)

Let  $f$  be a holomorphic function on an annulus (that is, a ring)

$$R = \{z \mid \alpha < |z| < \beta\}.$$

Then  $f$  can be expressed as a Laurent power series  $f(z) = \sum_{i \in \mathbb{Z}} z^i a_i$  converging in  $R$ .

**Proof:** Same as Cauchy formula: for an annulus with components of the boundary denoted as  $\partial R_+$  and  $\partial R_-$ , one has

$$\int_{\partial R_+} \frac{f(z)dz}{z-a} - \int_{\partial R_-} \frac{f(z)dz}{z-a} = 2\pi\sqrt{-1} f(a),$$

This gives

$$2\pi\sqrt{-1} f(a) = \sum_{i \geq 0} a^i \int_{\partial R_+} f(z)(z^{-1})^{i+1} - \sum_{i \geq 0} a^{-i-1} \int_{\partial R_-} f(z)z^i$$

because  $\frac{1}{z-a} = z^{-1} \sum_{i \geq 0} (az^{-1})^i$  for  $|z| > |a|$  and  $\frac{1}{z-a} = a^{-1} \sum_{i \geq 0} (a^{-1}z)^i$  for  $|z| < |a|$ . ■

**REMARK:** This theorem remains valid if  $\alpha = 0$  and  $\beta = \infty$ .

## Affine coordinates on $\mathbb{C}P^1$

**DEFINITION:** We identify  $\mathbb{C}P^1$  with the set of pairs  $x : y$  defined up to equivalence  $x : y \sim \lambda x : \lambda y$ , for each  $\lambda \in \mathbb{C}^*$ . This representation is called **homogeneous coordinates**. **Affine coordinates** are  $1 : z$  for  $x \neq 0$ ,  $z = y/x$  and  $z : 1$  for  $y \neq 0$ ,  $z = x/y$ . The corresponding gluing functions are given by the map  $z \rightarrow z^{-1}$ .

**DEFINITION: Meromorphic function** is a quotient  $f/g$ , where  $f, g$  are holomorphic and  $g \neq 0$ .

**REMARK:** A holomorphic map  $\mathbb{C} \rightarrow \mathbb{C}P^1$  is the same as a pair of maps  $f : g$  up to equivalence  $f : g \sim fh : gh$ . **In other words, holomorphic maps  $\mathbb{C} \rightarrow \mathbb{C}P^1$  are identified with meromorphic functions on  $\mathbb{C}$ .**

**REMARK:** In homogeneous coordinates, an element  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PSL(2, \mathbb{C})$  acts as  $x : y \rightarrow ax + by : cx + dy$ . Therefore, in affine coordinates it acts as  $z \rightarrow \frac{az+b}{cz+d}$ .

## Möbius transforms

**DEFINITION:** **Möbius transform** is a conformal (that is, holomorphic) diffeomorphism of  $\mathbb{C}P^1$ .

**REMARK:** The group  $PGL(2, \mathbb{C})$  acts on  $\mathbb{C}P^1$  holomorphically.

The following theorem will be proven next lecture.

**THEOREM:** The natural map from  $PGL(2, \mathbb{C})$  to the group of Möbius transforms is an isomorphism.